Form ISR - 1

(see SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

Α.	1 /	/ We request w	ou to Register	/ Change / Und	ate the following	(Tick ✓ relevant box)		
	' /	we request y		, change , opu		(Tick > Televant box)		
	P.	AN		☐ Bank detai	ls	Signature		
	_ N	obile number		E-mail ID		Address		
В.	Se	ecurity Details:	•		***************************************			
		e of the Issuer		Folio No.:				
N	Name(s) of the Security holder(s)			1.				
а	s pe	r the Certificat	e(s)	2.				
	·			3.				
N	luml	ber & Face	value of					
s	securities							
	Distin	nctive number	of securities	From To				
(Opti	onal)						
C.	1/	/ We are subm	nitting docume	ents as per Tab	le below (tick ✓	as relevant, refer to the		
	in	structions):		-	•			
	✓	Document / Instruction / Remark						
		Information						
	/ Details							
1	PAI	AN of (all) the (joint) holder(s)						
		PAN						
	Whether it is Valid PAN shall be salid and if it is list add a Audhorate Manch 24, 2022*							
	ar by March 31, 2022*							
	(linked to Aadhaar): Aadhaar): Aadhaar):							
		☐ Yes ☐No	4					
2		Demat						
Account Number Also provide Client Master List (CML) of your Demat Account, prov						Demat Account, provided by the		
		Number	Depository Pa	, , ,				
	l	l .	_ = 5,55,65,7,7					

# In Autho	orization: I / We, e off what is not a aration: All the about	ided, the details available in to authorise you (RTA) to update use Separate Annexure if extrapplicable). Dive facts stated are true and to Holder 1	e the above PAN and KYC de a space is required) in which	tails in my / our folio (s)		
# In Autho (strike Decla ignature	orization: I / We, e off what is not a aration: All the about	authorise you (RTA) to update fuse Separate Annexure if extra pplicable). ove facts stated are true and o	e the above PAN and KYC de a space is required) in which correct.	tails in my / our folio (s) I / We are the holder(s)		
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# In Autho	orization: I / We ,,(e off what is not a	authorise you (RTA) to update use Separate Annexure if extra pplicable).	e the above PAN and KYC de a space is required) in which	tails in my / our folio (s)		
# In	orization: / We	authorise you (RTA) to update	the above PAN and KYC de	tails in my / our folio (s)		
# In	·	,	•	•		
	case it is not prov	ided, the details available in t	he CML will be updated in tl	he folio		
UI						
* 0 "	any date as may be	e specified by the CBDT (DP: Depository Participant)				
	Mobile		#			
6	address Mobile	#				
5	E-mail					
		Passbook or Bank Statement attested by the Bank #				
		\square <u>original cancelled cheque</u> with name of security holder printed on it <i>or</i> <u>Bank</u>				
			Provide the following:	<u> </u>		
		Branch Name: IFS Code:				
		Bank Name:				
4	Bank details	Account Number:				
		Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions. For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken. The proof of address in the name of the spouse				
		☐ Identity card / document with address, issued by any of the following: Central/State Government and its Departments, Statutory / Regulatory				
		Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.				
		Literation bills like Talank	ana Dill (anlu land lina). Ela	atriaitu bill an Caa bill		
		License / Flat Maintenance	e biii.			

of Any one of the documents, only if there is change in the address;

☐ Client Master List (**CML**) of your Demat Account, provided by DP.

Proof of Address of

first

Mode of submission of documents to the RTA

Please use any one of the following mode;

- 1. In Person Verification (**IPV**): by producing the originals to the authorized person of the RTA, who will retain copy(ies) of the document(s)
- 2. In hard copy: by furnishing self-attested photocopy(ies) of the relevant document, with date
- 3. Through e-mail address already registered with the RTA, with e-sign of scanned copies of documents
- 4. Service portal of the RTA with e-sign with scanned copies of documents, if the RTA is providing such facility

Note

- It is mandatory for holders of physical securities in listed company to furnish PAN, full KYC details (address proof, bank details, e-mail address, mobile number) and Nomination (for all the eligible folios).
- Upon receipt or up-dation of bank details, the RTA will automatically, pay electronically, all the moneys of / payments to the holder that were previous unclaimed / unsuccessful.
- RTA shall update the folio with PAN, KYC details and Nominee, within seven working days of its receipt. However, cancellation of nomination, shall take effect from the date on which this intimation is received by the company / RTA.
- RTA shall not insist on Affidavits or Attestation / Notarization or indemnity for registering / up-dating / changing PAN, KYC details and Nomination.
- Specimen
 Signature
 Provide banker's attestation of the signature of the holder(s) as per Form
 | ISR 2 in SEBI circular SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2021/655
 | dated November 03, 2021) and
 original cancelled cheque with name of security holder printed on it or
 | Bank Passbook or Bank Statement attested by the Bank
 Nomination**
 Providing Nomination: Please submit the duly filled up Nomination Form
 (SH-13) or 'Declaration to Opt out of Nomination' as per Form ISR-3, in
 SEBI circular SEBI/HO/MIRSD/MIRSD RTAMB/P/ CIR/2021/655 dated
 November 03, 2021
 • Change in Existing Nomination: Please use Form SH-14 in SEBI circular
 SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2021/655
 • Cancellation of Existing Nomination: use Form SH-14 & Form ISR 3

(Page 3 & 4 is for information to investors; print out of the same is not required)

^{**} Nomination (Form SH-13 or SH-14) / 'Declaration to Opt-Out of nomination' (Form ISR – 3), has to be furnished by the holder(s) separately for each listed company.